# IGX Grants Management System
# Risk Assessment Upgrades

Nira Ly | Agency Grants Director
Adam Wallace | Grants Compliance and Implementation Coordinator

Monday, July 6, 2026

# Agenda

Pre-Award Risk Assessment

↓

Risk Assessment Upgrades

↓

Resources

↓

Demo

↓

Questions & Closing

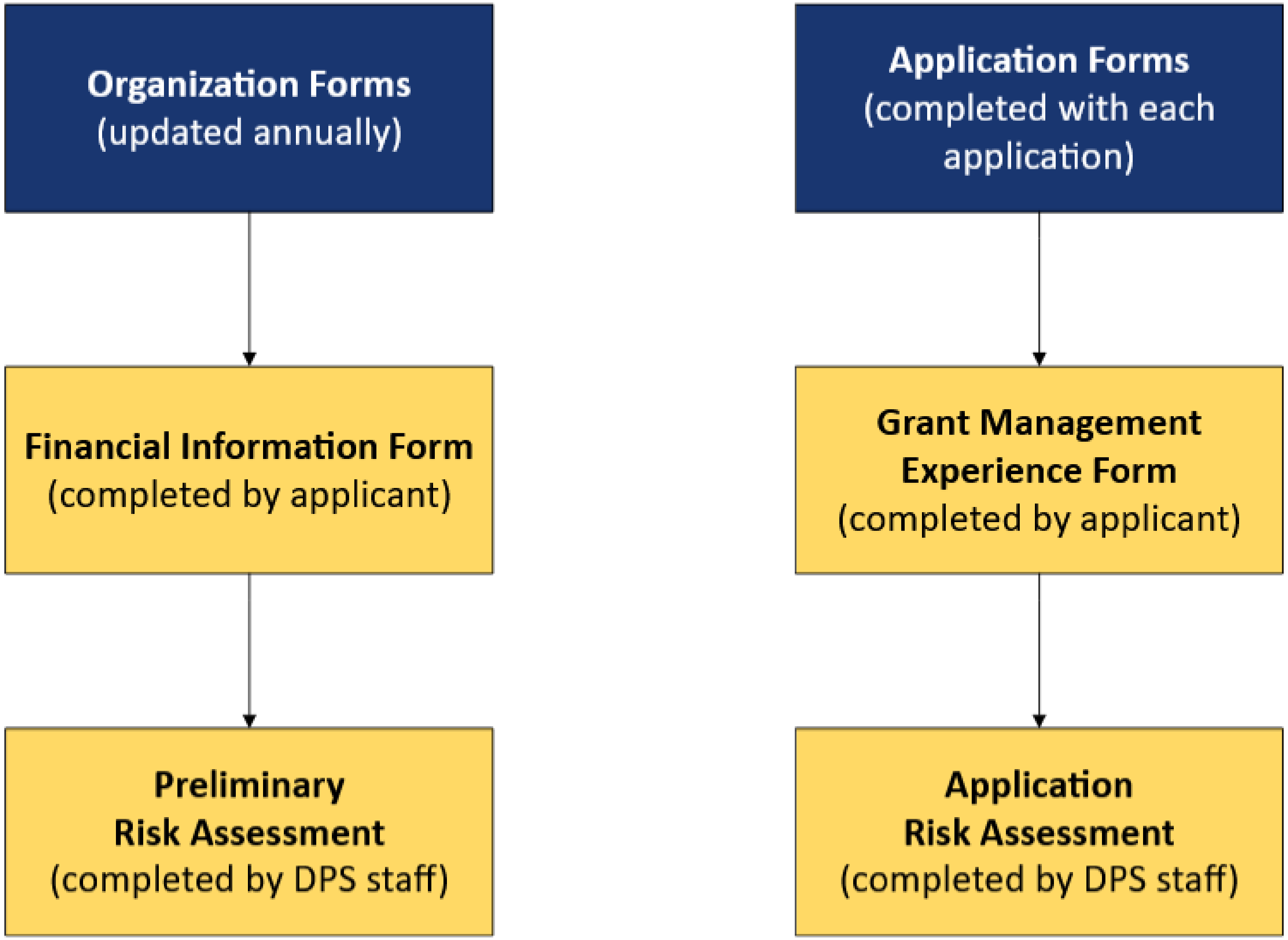
# Pre-Award Risk Assessment Overview

- Goals:
  - Assess applicant risk before awarding grant funds
  - Prevent fraud and misuse of funds
  - Mitigate noncompliance
- State Policies:
  - Office of Grants Management Policy 08:06: Pre-award Risk Assessment
  - Minnesota Statutes 16B.981

# Pre-Award Risk Assessment Overview

- Addresses the key risk factors required under state and federal policies.
- Improves efficiency through assessing some risk factors at the organizational level.
  - Applicants do not need to duplicate information when applying for multiple grants.
  - Example: Organizational financial information such as audits provided only once.
- Allows assessment of risk factors at the application level.
  - Ensures key risk factors are assessed with every application.
  - Example: Grantee experience related to a particular grant program.

# Risk Assessment Upgrades Structure



# Risk Assessment Upgrades
# Purpose of the Upgrades

- Allow division staff to make and document assessments.
- Provide better and current information for assessing organization eligibility.
- Allow assessment of applicant experience and performance as it relates to specific programs.
- Clarify financial documentation required.
- Document grantee terminations and concerns.

# Risk Assessment Upgrades Overview

- **<u>Effective Date</u>**: All RFP's released after July 1, 2026
- Upgrades will not affect the following:
  - Existing RFP's that have been released
  - Applications in process
  - Grants awarded
- These will continue to use the current Financial Information form.

Two versions of the Financial Information form:

- **<u>v1</u>**: All RFP's released on or before June 30, 2026
- **<u>v2</u>**: All RFP's released after July 1, 2026

# Risk Assessment Upgrades
# Financial Information Form

- Moves organization good standing questions from this form to the Grant Management Experience form.
  - Staff can document a review of good standing with each grant application rather than annually.
- Updates questions and uploads related to financial information.
  - Clarifies documentation that applicants need to upload.
- The most significant change that applicants will see is the versioning of the Financial Information form in the left panel.

Who can complete this form?

- Agency Administrator
- Agency Program Administrator
- Agency Financial Officer
- Agency Writer

# Risk Assessment Upgrades
# Grants Management Experience

- Moves organization good standing questions from Financial Information form to this form.
  - Staff can assess at the time of application.
  - Requires upload to document good standing.
- Adds questions about past performance and experience.
  - Allows space for narrative explanations to better inform assessment of past performance and experience.

Who can complete this form?

- Agency Administrator
- Agency Program Administrator
- Agency Financial Officer
- Agency Writer

# Risk Assessment Upgrades
# IGX Resources

- [IGX grants management system support]
  - PowerPoint and recording of July 6 session
  - Financial Information Guide
  - Grant Management Experience Guide

# RISK ASSESSMENT UPGRADES DEMO

# Questions?

# Thank You!

grantsmgmt.shared.dps@state.mn.us